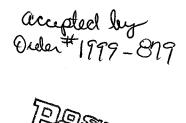
BEFORE

THE PUBLIC SERVICE COMMISSION OF



SOUTH CAROLINA

IN RE:	Application of T. J. Barnwell Utilities, Inc.	4 72	
ii (ita).	For approval of a new schedule of rates and)	100	પ્રકાશ
	Charges for sewer service provided to	RATE	ARO
	Residential and commercial customers	APPLICATION	0.90
	In Pleasant Point Plantation, Beaufort		
	County South Carolina		

- T.J. Barnwell Utility Company (hereinafter "Utility") would respectfully show unto this Honorable Commission:
- 1. That it is a corporation organized and existing under the State of South Carolina and is a public utility within the meaning of the South Carolina statutes.
- 2. That this application is for approval of a new schedule of rates and charges for sewer services provided to residential and commercial customers within the utility's service area.
- 3. Applicant is presently providing services to one hundred three (103) residential and commercial customers as of June 30, 1999, under a schedule of rates and charges approved by the Commission in Order No. 92-913 with an effective date of October 20, 1992.
- 4. That because of increased operating expenses and significant capital improvements required by the SC Department of Health and Environmental Control through the issuance of Consent Order 99-035-W, applicant must increase its rates and charges and respectfully submits, pursuant to SC Code Ann. 558-5-240 (1976), the attached new schedule designated as Section 3 of this application and incorporated

herein by reference, which would have produced additional revenues of \$20,952 for sewer service based on the twelve-month period ending December 31, 1998. Applicant is informed and believes that such schedule is just, lawful and reasonable and should be approved.

5. All correspondence and other communications related to this application should be addressed to:

R. Arnold Ellison, P.E.23 Long Marsh LaneHilton Head, SC 29928

Copies to:

David Christmas

201 Tarpon Blvd.

Fripp Island, SC 29920

6. Attached hereto and incorporated by reference are Sections 1-14 inclusive, based on the proposed twelve month test year ending December 31, 1998, required by the Commission's Rules and Regulations, Section R. 103-712-4.

WHEREFORE, having fully set forth its petition, applicant prays that this Honorable Commission inquire into the matters set forth herein and that it approve the attached new schedule filed by the applicant herein.

 $\mathbf{R}\mathbf{v}$

R. Arnold Ellison

Professional Engineer SC Reg. No. 5013

T. J. BARNWELL UTILITIES, INC.

RATE ADJUSTMENT APPLICATION TO THE SOUTH CAROLINA PUBLIC SERVICE COMMISSION

T. J. BARNWELL UTILITIES, INC.

RATE ADJUSTMENT APPLICATION TO THE SOUTH CAROLINA PUBLIC SERVICE COMMISSION

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T. J. BARNWELL UTILITIES, INC.

STATEMENT OF JUSTIFICATION FOR RATE INCREASE

The sewer system serving Pleasant Point Plantation in Beaufort County has been owned by several different developers of Pleasant Point Plantation through the years. The last rate case was presented in 1992 under the name of AGI/Pleasant Point Plantation Utility Company. Since that time the remaining developable land and the sewer utility were purchased by The Fripp Company. Prior to this purchase in 1996, the previous owner had changed the name of the utility to T. J. Barnwell Utilities, Inc. This most recent purchase by The Fripp Company was a stock purchase.

With the purchase of the sewer system it was realized that significant improvements were required to meet DHEC effluent limits. A consent order, executed in March 1999, requires significant improvements to the treatment plant, four sewage pump stations, and the gravity collection system totaling approximately \$710,000. The utility was also fined \$15,000 for not meeting permit limits.

Currently, the improvements to the treatment plant and pump stations are under contract and TSG Water Resources, Inc. has been contracted to provide full management and operation of the utility.

Requested rates in 1992 were denied by the Commission with the expectation that the utility could improve its operation without increased revenues. While vacant lots were required to pay \$20.00 per month for sewer availability fees according to the restrictive land covenants, the PSC approved rates were only \$18.00 per month (flat rate). This actually reduced the revenues to the utility as new customers were added.

Continuing to operate the sewer system under the currently approved rates (including the non-regulated sewer availability fees) has failed to provide an adequate or reasonable operating margin for the past year (-99.8%). Taking this into consideration as wells the added depreciation for the new capital improvements justify the requested rate increases.

T. J. BARNWELL UTILITIES, INC.

Revenue & Expense Statement 1998

Revenue:	
Sewer Service	\$20,014.81
Availability Fees	26,175.85
Tap Fees	2,450.00
Late Fees	14.32
Total Income	\$48,654.98
Expenses:	
Operating Supplies	\$ 3,829.62
Water	1,442.66
Electricity	8,385.54
Operator Services	21,905.00
Accounting Services	6,000.00
Sewer System Repair	47,814.81
Other Expenses	87.24
Tax Return	250.00
Bank Service Charges	11.54
Office Supplies	89.53
Postage	170.08
DHEC Permit & Fees	800.00
Water Quality Monitoring	<u>4,680.00</u>
Total Expenses	\$95,466.02
Net Income	(\$46,811.04)

T. J. BARNWELL UTILITIES, INC.

Proposed Rate Schedule

Sewage Service Fee

Class of Customers	Monthly Charge per Unit
Residential	\$ 36.00
Commercial	\$ 40.00
Sewe	er Tap Fee
Residential Commercial	\$650.00 per unit \$900.00 per unit

Disconnect/Reconnect and Late Payment Fees

Disconnection and Reconnection Cl	harge
(CCDCC D. 10 102 522 4)	-

\$250.00 *

(SCPSC Rule 103-532.4)

Late Payment Charge

11/2% of unpaid *

balance

(SCPSC Rule 103-532.2)

Other Charges

New Customer Set-up	\$ 25.00 *
Notification of Disconnection	\$ 4.00 *

All other rates and charges to be negotiated and agreements filed with the Commission for approval.

^{*} Rates previously approved by the SCPSC.

T. J. BARNWELL UTILITIES, INC.

Test Year

T. J. Barnwell Utilities, Inc. operates on a calendar year. The test year for this rate application is for January 1, 1998 – December 31, 1998.

T. J. BARNWELL UTILITIES, INC.

Pro Forma Income and Expense Statement using Proposed Rates Applied to Test Year

Activity	Per Books	Pro Forma Adjustment	After Adjustment	Effect of Proposed Increase	After Prop Increase
OPERATING REVENUE	ES				
Sewer Service	20,015	937 (1)	20,952	20,952 (8)	41,904
Availability Chgs	26,176	(1,800) (2)	24,376	-0-	24,376
Tap Fees	2,450	(2,450) (3)	- 0-	-0-	- 0-
Late Fees	14	(14) (4)	0-	<u>-0-</u>	0-
Total Revenues	48,655	(3,327)	45,328	20,952	66,280
OPERATING EXPENSE	S				
Administration	7,321	2,935 (5)	10,256	-0-	10,256
Operation & Maint	88,145	(32,763) (6)	55,382	-0-	55,382
Depreciation		28,829 (7)	<u>28,829</u>	<u>-0-</u>	<u>28,829</u>
Total Expenses	95,466	(999)	94,467	-0-	94,467
NET OPER INCOME	(46,811)		(50,057)		(28,187)
Add Customer Growth	(1,732) (9)		(1,852) (9)		(1,677)
TOTAL OPER INCOME	(48,543)		(48,205)		(29,264)
OPERATING MARGIN	(99.8%)		(106. 3%)		(44.2%)

Footnotes:

- (1) Annualized sewer revenues
- (2) To remove charges collected in 1998 for 1997 billing
- (3) To remove tap fees from operating revenues
- (4) To remove late fees from operating revenue
- (5) To add administrative expenses for insurance and application fees
- (6) To remove non-recurring O & M expenses and miscoded items (see Section 9)
- (7) To add depreciation of system improvements required by DHEC consent order
- (8) To reflect an increase in rates from \$18/mo to \$36/mo
- (9) To reflect growth factor of 3.7%

T. J. BARNWELL UTILITIES, INC.

Balance Sheet December 31, 1998

ASSETS

Cash In Bank Accounts Receivable	\$ 4,871.49 5,191.14
Escrow	10,304.25
Improvements Accum. Depreciation	4,865.73 (-695.00)
Total Assets	\$124,542.61

LIABILITIES AND EQUITY

Accounts Payable Cardinal Engineering Pleasant Pt. Land Company	501.00 <u>5,000.00</u>
Total Liabilities	\$ 5,501.00
Paid in Capital Stock Retained Earnings	217,405.46 1,000.00 (99,363.85)
Total Liabilities and Equity	\$124,542.61

T. J. BARNWELL UTILITIES, INC.

Depreciation Schedule

Accumulated depreciation for improvements to sewer system at the end of 1998 was only \$695.00. Capital improvements made to the treatment plant, pump stations and collection system in 1999 will total over \$700,000. Depreciation schedule for these improvements will be:

Asset	Life	Cost	% Depreciable	Annual Depreciation
Treatment Plant	20	349,410	83.3%	14,552.93
Pump Stations	20	206,380	100.0%	10,319.00
Collection Syst	40	149,390	100.0%	3,734.75
Weir/Flow Meter	10	1,688	100.0%	168.75
Syst Extension	40	2,150	100.0%	53.75
Total Annual l	Depreciation			\$28 829 18

T. J. BARNWELL UTILITIES, INC.

Customer Growth

In 1998 there were seven customers added to the system. Using the SCPSC formula for growth factor:

$$GF = \frac{97-93.5}{93.5} = 3.7\%$$

T. J. BARNWELL UTILITIES, INC.

Cost Justification For Proposed Rates

T. J. Barnwell Utilities, Inc. provides sewer service to residents and commercial establishments in Pleasant Point Plantation. Water service is provided by the Beaufort Jasper Water & Sewer Authority, a regional water and sewer utility.

Over the past 10 - 15 years the sewer system has been neglected resulting in significant deterioration of the wastewater treatment plant and four of the five pump stations. Capital improvements totaling approximately \$710,000 are presently under construction in compliance with a consent order issued by the SC Department of Health and Environmental Control (see Section 13).

Previous applications for the sewer rates have used "operating margin" rather than a rate of return on the investment of the system. This same method will be used in this rate case. Operating margin is determined by dividing the net income after depreciation by the gross income.

The operating revenues and expenses for the most recently completed fiscal year are presented in Section 2 of this rate application. A net loss of \$46,811 from the gross income of \$48,655 gave a negative operating margin of (96.2) percent.

Sewer service has been provided to the residential and commercial customers in Pleasant Point Plantation at a fixed rate. The rates last approved by the SC Public Service Commission in 1992 were not adequate to cover the operating expenses of the utility. In fact, sewer availability charges imposed on vacant lots by deed restrictions are \$20.00 per month while the sewer rates approved by the PSC were only \$18.00 per month. This has caused the utility to experience a revenue loss every time a new customer has been added.

Table 1 shows the actual expenses for the utility in 1998. Adjustments are shown to properly categorize the expenses in some cases, and to reflect annualized expenses for others. A significant expense is "Depreciation" for the system upgrades that are currently being made to the treatment plant and four pump stations.

Table 2 presents the capital improvements that fall into these general categories:

- 1. Wastewater Treatment Plant Upgrades
- 2. Pump Station Upgrade
- 3. Repair of Sewage Collection System

ACTUAL OPERATING EXPENSES AND ADJUSTMENTS FOR 1998

																			SUPT	EQUIPT	382.62														382.62	
AD.J OPN	EXPENSES	1,759.20	1,442.66	8,936.14	21,310.00	6,000.00	13,271,24		250.00	11.54	89,53	170.08	1.150.00	8,280.00	2,585.00	382.62	28,829.18	94,467.19		INSUR													2,585.00		2,585.00	
																				4520							3,600.00								3,600.00	
		(£)		(2)	(9)	(4)		(5)	•				(9)	(£)	(8)	(6)	(10)			4519										350.00					350.00	
	ADJUSTMENTS	(2,070.42)			(295.00)		(34,543.57)						350.00	3,600.00	4	382.62 (28,829.18 ((998.83)		4508									(87.24)						(87.24)	
	AI																			4507				(14,680.81)	(2,150.00)			(4,000.00)	87.24	(350.00)	(12,000.00)	(1,450.00)			(595.00) (34,543.57)	
																				4503							(595.00)								(295.00)	
REPORTED	EXPENSES	3,829.62	1,442.66	8,385.54	21,905.00	6,000.00	47,814.81	87.24	250.00	11.54	89.53	170.08	800.00	4,680.00				95,466.02		4502			550.60												550.60	
az.	Ш																1			4500	(382.62)	(1,687.80)													(2,070.42)	
	EXPENSES	Sewer Plant Supplies	Water	Electricity	Operator Services	Accounting Services	Sewer Sys Repair	Other Expenses	Tax Return	Bank Serv Charges	Office Supplies	Postage	DHEC Permit & Fees	Water Qlty Monitoring	Insurance	Support Equipt	Depreciation	TOTAL		ADJUSTMENTS	Orion Research	Johnston Intl	SCE&G	Nelson Lewis	DuPriest Constr	Gen Envr Lab	5 invoices	Cardinal Engineering	Jeter Constr	Lowcountry COG	GJE	Donald J Smith	DeJarnette & Paul	Depreciation	Total Adjustments	
	CODE	4500	4501	4502	4503	4506	4507	4508	4209	4512	4515	4513	4519	4520							Ť	7	က်	4.	ις.	ശ		7.	ထ	တ်	5	1.	12	13		

T. J. BARNWELL UTILITIES, INC.

TABLE 1 (Continued) Reasons for Adjustments to 1998 Operating Expenses

- (1) Sewer Plant Supplies recoded purchase of PH meter from Orion Research for \$382.62 from "Sewer Plant Supplies" to "Support Equipment"; recoded purchase of 60° V trapezoidal flume with ultrasonic sensor from Johnston, Inc. for \$1,687.80 from "Sewer Plant Supplies" to "Capital Improvements".
- (2) Electricity added the electric bills from SCE & G for December 1998 service (\$550.60) that was paid in January 1999. The total expenses of \$8,936.14 cover the 12 months of 1998.
- (3) Operator Services The four invoices from General Environmental Laboratories totaling \$595.00 for effluent monitoring were recoded from "Operator Services" to "Water Treatment Monitoring".
- (4) Sewer System Repair Actual repairs totaled \$47,814.81 in 1998, however with the major improvements made to the pump stations and collection system, future repairs will be significantly reduced. Adjustments are:

Nelson Lewis

\$ 1,036.25	971.68	2,244.95	840.15	898.04	360.00	1,472.50	260.00	2,465.12
April Repairs \$	Pump Enclosure	Pump Station Repair	May Repairs over Contract	Pump Station Repairs	July Repair	PS #1 Repair	September Extra Work	Blower Repair

1,078.44	954.31	340.00	504.63	954.74	\$14,680.81
October Repairs	Irrig. Pump Repair	Final Bill on Pump Repair	Sewer Tap	December Repairs	Total

b. DuPriest Construction - Sewer extension for \$2,150.00 was recoded to Capital Improvements.

Cardinal Engineering - \$4,000.00 retainer for studies and design were recoded to Capital Improvements.

Jeter Construction - Paving expense of \$87.24 was recoded from "Other Expenses" to "Sewer System Repair".

Lowcountry COG - Fee of \$350.00 was recoded from "Sewer System Repair" to "Permits and Fees".

f. GJE – Adjustment of \$12,000.00 for sewer repair was removed from rate base as no recurring expense.

Donald J. Smith - Survey for treatment plant upgrade for \$1,450.00 was recoded to "Capital Improvements".

Total Adjustment

\$34,543.57

(5) Other Expenses - Asphalt repair by Jeter Construction for \$87.24 was recoded to "Sewer System Repair".

(6) DHEC Permit & Fees - Application fee of \$350.00 paid to Lowcountry COG was recoded from "Sewer System Repair"

(7) Water Facility Monitoring – Monthly effluent monitoring cost \$170.00/month while quarterly ground water monitoring cost \$1,560 per quarter. This totals \$8,280 per year. \$595.00 was recoded from "Operator Service" while the rest of the adjustment (\$3,005) is based on lab rates for 12 months.

(8) Insurance - Actual insurance paid in 1999 to DeJarneet & Paul was \$2,585,00.

(9) Support Equipment - PH meter purchased from Orion Research for \$382.62 was recoded from "Sewer Plant Supplies.

(10) Depreciation - For 1998 there was no depreciation expensed by the utility. The capital improvements to the treatment plant, pump station and collection system required by DHEC will total approximately \$705,000.

Depreciation will be:

Asset	Cost	Life	% To Be Depreciated	Annual Depreciation	
Treatm Plant	\$355,510	20	83.3%	\$14,806.99	
Pump Stations	206,380	20	100%	10,319.00	
Collection Sys	149,390	40	100%	3,734.75	
Weir	1,688 10	10	100%	168.75	
Syst Ext	2,150 40	40	100%	53.75	
		Total /	Total Annual Depreciation	\$29,083.24	

CAPITAL IMPROVEMENTS

ACTIVITY TRT PLT UPGRADE ENGR & ADMIN	CONTRACTOR	BUDGET
1. Constr MGT	TSG	\$12,000 a
2. Constr Mgt	Nelson Lewis	3,000 e
Engineering	Cardinal Engr	
a. Study & Report	"	4,500 a
b. Design	"	
		9,000 a
c. Permitting & Misc		5,100 e
Elect Design	BES	3,000 a
Surveying	EMC	2,330 a
6. Tree Mitigation & Fee	Beaufort County	6,035 a
7. Fine	DHEC	10,000 a
7.1110	BITEO	10,000 a
HOLDING DOND		
HOLDING POND		
 Pond Constr 	LCR	65,510 a
2. Relocate Irrig Piping	DuPriest	13,520 a
3. Irrig PS & Piping	DuPriest	18,725 a
4. Vert Turb Pumps	Pete Duty	18,000 a
voic raib rampo	r ete Buty	10,000 a
TOTATIATALT DI AALT		
TREATMENT PLANT		
 Demo Irr Pump Sta 	Nelson Lewis	300 a
Demo Fencing	Nelson Lewis	100 a
3. Stake Out Pond	Gasque Surveying	500 e
4. Clarifier Excav &Slat	LCR	11,500 a
5. Mod Trt Plt & Clarifie	Pro Water	
		85,720 a
6. Trt Plt Piping	Pro Water	15,000 ⊕
Eq Basin Pumps	Pete Duty	16,320 a
8. Blower Bldg	Nelson Lewis	7,500 a
9. New Blower	Pete Duty	2,500 a
10. Install Blowers	Nelson Lewis	1,500 a
	A	
11. Temp Electrical	Apex	1,360 a
12. Final Electrical	Apex	18,000 a
13. Paint Trt Pit	Nelson Lewis	3,000 a
14. Landscaping	BPG	18,200 a
		•
SLUDGE DISPOSAL		
1. Lab Tests	Shealy Env Serv	790 a
2. Transport	Degler	1,500 e
3. Disposal	BJWSA	<u>1,000</u> e
TOTAL Trt Plant		355,510
PUMP STATIONS		
 Design & Permits 	Cardinal Engr	7,500 a
2. Pumps & Controls	Pete Duty	,,,,,,
a. PS # 1	"	12.020
	II .	12,930 a
b. PS # 2		11,460 a
c. PS#3	"	11,970 a
d. PS # 4	**	11,620 a
3. Construstion	DuPriest	150,900 a
Total Pump Stations		206,380
Y-T-D Payments		200,000
1-1-b i ayinents		
Le L DDO IDOT		
I & I PROJECT		
 Design & Permits 	Cardinal Engr	2,000 a
2. Construction	DuPriest	
a. Phase 1	"	36,140 e
b. Phase 2	11	55,750 e
	n	
c. Phase 3		55,500 e
Total I & I Project		149,390
Y-T-D Payments		
TOTAL ALL PROJ		711,280

a: actual e: estimate

The treatment plant upgrade totaling \$349,410 provides an expanded capacity from 100,000 gallons per day to 120,000 gallons per day. The rate base for this case will incorporate 83.3% of the depreciation for the upgrade. The pump station and collection system upgrades are required for the present customers so 100% of the depreciation for these capital improvements will be used in the rate base.

From Table 1 the adjusted annual operating expenses are:

Administration	\$ 10,256
Operation & Maintenance	55,382
Depreciation	29,083
Total	\$ 94,721

With an operating margin of 10% the required annual income is \$104,193.

For the 96 residential customers and 1 commercial customer, the average cost per customer is \$89.51 per month.

If the availability charges of \$20.00 per month for the 100 vacant lots are pledged to cover operating expenses, the revenue requirement from customers is reduced by \$24,000. This will lower the user rate to \$68.89 per month.

Such a rate would be an increase of 283% over the present rate of \$18.00 per month. In order to increase the new rate to a reasonable level it is imperative that the utility will have a positive cash flow. In this case the revenues would have to equal at least \$65,638. For the 97 customers the rate would need to be \$56.39 per month. If the availability charges of \$24,000 are included in the revenue, then the rate would need to be \$35.77 per month. Such a rate would reflect a 98.7% increase.

It is recommended that the sewer rate for residential customers should be \$36.00 a month and \$40.00 a month for commercial customers, remembering that these rates do not cover any depreciation expense.

Tap Fees

Currently approved tap fees are \$350.00 per SFE. Cost to tap a sewer main and to extend a four-inch lateral to a customer's property line cost on average \$500 to \$600. The additional cost to locate the lateral for a connection is an additional \$50 - \$150. Recommend new tap fees to be raised to \$650 for a residential customer and to \$900 for a commercial customer.

T. J. BARNWELL UTILITIES, INC.

Performance Bond

In accordance with the rules and regulations of the South Carolina Public Service Commission, Section R.103-512.3 requires that a bond be posted with the SCPSC based on the total number of sewer customers that the utility has been authorized to serve. T. J. Barnwell Utilities, Inc., has been authorized to serve 350 residential and commercial customers. Based on the SCPSC schedule, a \$20,000 bond is required for 200-400 sewer customers.

The bond is submitted separately with this submission.

T. J. BARNWELL UTILITIES, INC.

Service Area

The service area for this utility is located on Lady's Island in Beaufort County. A copy of the service area is on file with the SCPSC.

T. J. BARNWELL UTILITIES, INC.

Plant Investment

Most of the original sewer system was either donated or has been fully depreciated. The new treatment plant, pump stations and collection system upgrade currently under construction in conformance with the DHEC consent order will be the new plant investment as follows:

1.	Treatment Upgrade	\$355,510
2.	Pump Station #1	55,617
3.	Pump Station #2	49,294
4.	Pump Station #3	51,487
5.	Pump Station #4	49,982
6.	Collection System Improvements	149,390
	Total	\$711,280

T. J. BARNWELL UTILITIES, INC.

S.C. Department of Health & Environmental Control Approval

On March 15, 1999 the owners of T. J. Barnwell Utilities, Inc. executed a Consent Order with the S.C. Department of Health & Environmental Control that acknowledged the deficiencies of the sewage collection and treatment system and obligated the utility to make significant improvements to the treatment plant, four pump stations and to certain areas of the collection system. A copy of the executed consent order is attached.

The required improvements were originally required to be completed by December 1, 1999, but with the rain associated with Hurricane Floyd and other storms this fall, the time limit has been extended to February 1, 2000. A DHEC certificate of approval of the operation will be obtained when this work is completed.

T. J. BARNWELL UTILITIES, INC.

Bill Form

The monthly bills mailed to customers of T. J. Barnwell Utilities, Inc. are prepared by TSG Water Resources, Inc. and appear as the attached bill form.

T. J. BARNWELL UTILITY For Period Beginning: and ending Account Number: Invoice Number: METER ID **PREVIOUS** PRESENT CONSUMPTION **RATE SERVICE DESCRIPTION AMOUNT READING READING** Please keep this portion for your records TOTAL DUE

Please disregard any past due amounts if payment has been sent

PAYMENT STUB

Please Return This Portion With Your Payment Make Check Payable: T.J. Barnwell Utility

Account Number

Please Pay

Invoice

Amount Enclosed

RATE SCHEDULE AVAILABLE UPON REQUEST

IF UNPAID BY DELINQUENT.

PLEASE INCLUDE A 1.5% PENALTY WITH PAYMENT. ANY PAST DUE BALANCE IS CONSIDERED

IF YOU ARE MOVING, OR WISH TO CHANGE THE NAME OR MAILING ADDRESS ON YOUR ACCOUNT, PLEASE CONTACT OUR CUSTOMER SERVICE DEPARTMENT AT (800) 476-0520. OFFICE HOURS: MONDAY THROUGH FRIDAY, 8:00AM - 4:30PM.

Account Number:

Invoice:

Make Check Payable: T.J. Barnwell Utility

Mail to: P.O. Box 15967

Savannah, Ga. 31416